EXPORT CONTROL RED FLAGS
IN A UNIVERSITY ENVIRONMENT

DoD other MILITARY-related funding or collaboration—Army, Navy, Air Force, Marines, DARPA, DTRA, Northup Grumman, Leidos, etc.

SPACE-related—NASA, ESA or other Space Agency funding: Satellites, Rockets, Landing vehicles, etc.

ENCRYPTION, ADVANCED COMPUTING and INFORMATION TECHNOLOGY—source code, Product Design Kits (PDKs), integrated circuits, etc.

NUCLEAR — Weapons related as well as Civilian or Energy research

CHEMICAL OR BIOLOGICAL WEAPONS—“Select agents” list or Dual Use Research of Concern: e.g. certain e. Coli or Botulinum toxins (Botox)

OTHERS include UAVs (Drones) or AUVs, Robotics, Rocket or Missile technology, Oil & Gas exploration, Acoustic technology or research, infrared cameras or focal plane arrays, certain Sensors, etc.

PAYMENTS such as travel reimbursements or for services or clinical trials to foreign individuals or entities

SERVICES such as consulting for or training foreign nationals, other than activities covered as “educational information” or “fundamental research”

RESTRICTED PARTIES—use the Restricted Party Screening (RPS) tool on VisualCompliance.com to ensure no foreign entities are listed on a government restricted list

SANCTIONED COUNTRIES — Cuba, Iran, North Korea, Sudan or Syria

Any Red Flags should be escalated to the Export Control Office (export@ucsd.edu) for review and license determination

http://export.ucsd.edu

Is there an INTERNATIONAL component?

- Foreign sponsor or collaborator
- Foreign government or military involvement
- Foreign travel for conferences or field research
- Shipping outside the U.S.
- International includes Canada and Mexico

Is this Basic/Fundamental Research?

- No publication or dissemination restrictions in award or BAA/RFP
- No foreign national restrictions or requirements for US citizens or US persons only
- No proprietary information — NDAs, CDAs, or other confidentiality agreements

SCAN HERE for MORE INFO on Export Controls