UC San Diego

EXPORT CONTROL RED FLAGS IN A UNIVERSITY ENVIRONMENT

Export Control Office export@ucsd.edu

- **DoD other MILITARY-related funding or collaboration—**Army, Navy, Air Force, Marines, DARPA, DTRA, Northup Grumman, Leidos, etc.
- **SPACE-related**—NASA, ESA or other Space Agency funding; Satellites, Rockets, Landing vehicles, etc.
- **ENCRYPTION, ADVANCED COMPUTING** and **INFORMATION TECHNOLOGY**—source code, Product Design Kits (PDKs), integrated circuits, etc.
- **NUCLEAR** — Weapons related as well as Civilian or Energy research
- **CHEMICAL OR BIOLOGICAL WEAPONS**—"Select agents" list or Dual Use Research of Concern: e.g. certain e. Coli or Botulinum toxins (Botox)
- **OTHERS** include UAVs (Drones) or AUVs, Robotics, Rocket or Missile technology, Oil & Gas exploration, Acoustic technology or research, infrared cameras or focal plane arrays, certain Sensors, etc.
- **PAYMENTS** such as travel reimbursements or for services or clinical trials to foreign individuals or entities
- **SERVICES** such as consulting for or training foreign nationals, other than activities covered as "educational information" or "fundamental research"
- **RESTRICTED PARTIES**—use the **Restricted Party Screening (RPS)** tool on VisualCompliance.com to ensure no foreign entities are listed on a government restricted list
- **SANCTIONED COUNTRIES — Cuba, Iran, North Korea, Sudan or Syria**

Any Red Flags should be escalated to the Export Control Office (export@ucsd.edu) for review and license determination

Is there an INTERNATIONAL component?

- **Foreign sponsor or collaborator**
- **Foreign government or military involvement**
- **Foreign travel for conferences or field research**
- **Shipping outside the U.S.**
- **International includes Canada and Mexico**

Is this Basic/Fundamental Research?

- **No publication or dissemination restrictions in award or BAA/RFP**
- **No foreign national restrictions or requirements for US citizens or US persons only**
- **DoD Contracts/DFARS, "Distribution Statement: B, C, D..." "DoD only"**
- **No proprietary information — NDAs, CDAs, or other confidentiality agreements**

SCAN HERE for MORE INFO on Export Controls